



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

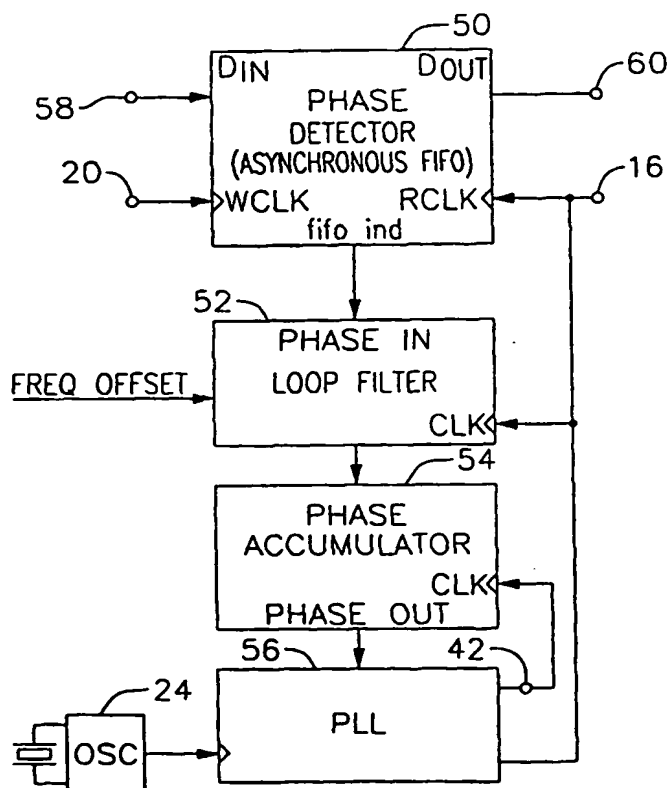
(51) International Patent Classification ⁷ : H04L 7/033, H03L 7/081		A1	(11) International Publication Number: WO 00/46949
			(43) International Publication Date: 10 August 2000 (10.08.00)
(21) International Application Number: PCT/US00/02849 (22) International Filing Date: 4 February 2000 (04.02.00) (30) Priority Data: 60/118,726 5 February 1999 (05.02.99) US (71) Applicant (for all designated States except US): BROADCOM CORPORATION [US/US]; 16215 Alton Parkway, Irvine, CA 92618-3616 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): KAYLANI, Tarek [JO/US]; 607 Cardiff, Irvine, CA 92606 (US). LU, Fang [-/US]; 25 Arbusto, Irvine, CA 92606 (US). SAMUELI, Henry [US/US]; 16215 Alton Parkway, Irvine, CA 92619 (US). (74) Agent: RAHN, LeRoy, T.; Christie, Parker & Hale LLP, P.O. Box 7068, Pasadena, CA 91109-7068 (US).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

BEST AVAILABLE COPY

(54) Title: SYNCHRONIZING METHOD AND APPARATUS

(57) Abstract

To synchronize a regularly occurring pulse train to the average of a bunched pulse train, an oscillator generates a plurality of differently phase shifted signals at a given frequency. One of the phase shifted signals is selected as an output signal. The output signal is compared with the brunched pulse train. The selected phase shifted signal is changed responsive to the comparison so the output signal occurs at the average frequency of the bunched pulse train. The oscillator is formed as a plurality of differential amplifier stages having equal controllable delays. The stages are connected together to form a ring oscillator. The output signal is compared with the bunched pulse train through a FIFO. A signal representative of the state of the FIFO is used as an error signal to control the selection of the phase shifted signal to be used as the output signal. A phase locked loop that synchronizes the phase shifted singal generating oscillator to a frequency reference is nested in the control loop that selects one of the phase shifted signals as the output signal.

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

SYNCHRONIZING METHOD AND APPARATUS

CROSS-REFERENCE TO RELATED APPLICATION

This application claims priority of U.S. provisional Application No. 60/118,726, filed on February 5, 1999, the disclosure of which is incorporated fully herein by reference.

BACKGROUND OF THE INVENTION

This invention relates to synchronization of an oscillator output to a pulse train and, more particularly, to a method and apparatus for synchronizing an oscillator to a bunched, i.e., pulse-swallowed, or rapidly jittering pulse train.

In communications systems, there are a number of applications in which it is necessary to generate a smooth, i.e., regularly occurring, pulse train from a bunched, i.e., pulse-swallowed, or rapidly jittering pulse train. For example, pulse-swallowed data might result after error correction of a data stream or removal of framing codes. Recovering a low-jitter clock from such pulse-swallowed data can be a challenge, particularly in applications that require the capability of generating a range of clock frequencies. If CMOS technology is used in a communications system, clock recovery is additionally hampered by the high self-noise inherent in CMOS oscillators.

SUMMARY OF THE INVENTION

To synchronize a regularly occurring pulse train to the average of a bunched pulse train, an oscillator generates a plurality of differently phase shifted signals at a given frequency. One of the phase shifted signals is selected as an output signal. The output signal is compared with the bunched pulse train. The selected phase shifted signal is changed responsive to the comparison so the output signal occurs at the average frequency of the bunched pulse train.

According to a feature of the invention, the oscillator is formed as a plurality of differential amplifier stages having equal controllable delays. The stages are connected together to form a ring oscillator. The phase shifted signals are generated by the respective stages such that each stage produces two signals shifted in phase by 180° from each other.

According to another feature of the invention, the output signal is compared with the bunched pulse train in a FIFO. A signal representative of the state of the FIFO is used as an error signal to control the selection of the phase shifted signal to be used as the output signal.

According to another feature of the invention, the phase shifted signal generating oscillator is incorporated into a phase locked loop so it can be synchronized to a single fixed frequency reference. The phase locked loop has a number of counters that can be programmed to change the frequency of the phase shifted signal generating oscillator. As a result, a smooth pulse train can be generated from a pulse-swallowed or rapidly jittering pulse train occurring over a wide range of frequencies.

DESCRIPTION OF THE DRAWINGS

The features of a specific embodiment of the best mode contemplated of carrying out the invention are illustrated in the drawings, in which:

FIG. 1 is a schematic block diagram of a phase locked oscillator incorporating features of the invention;

FIG. 2 is a schematic block diagram of a preferred form of the oscillator in FIG. 1;

FIG. 3 is a schematic block diagram of a phase locked loop that synchronizes the oscillator of FIG. 1 to a stable frequency reference;

FIG. 4 depicts waveforms utilized to describe a specific application of the invention;

FIG. 5 is a schematic block diagram of the phase locked loop of FIG. 3 incorporated into the phase locked oscillator of FIG. 1;

FIG. 6 are waveforms that depict the phase relationship between the phase shifted signals generated by the oscillator in FIG. 1;

FIG. 7 is a schematic block diagram of the loop filter and phase accumulator of FIG. 5;

FIG. 8 is a schematic block diagram of the phase detector/asynchronous FIFO of FIG. 5;

FIG. 9 is a schematic block diagram of the FIFO indicator of FIG. 8;

FIG. 10 is a schematic block diagram of one of the flag storing stages of FIG. 9; and

FIG. 11 is a diagram representing the storage locations in the FIFO of FIG. 8 relative to write and read address pointers.

DETAILED DESCRIPTION OF THE SPECIFIC EMBODIMENT

In FIG. 1, the output of an oscillator 10 is coupled to a phase shifter 12, which generates a plurality, e.g., sixteen, of differently phase shifted signals at the frequency of oscillator 10. The phase shifted signals are applied to respective inputs of a signal selector 14. The output of signal selector 14 is connected to an output terminal 16 and one input of a phase comparator 18. A synchronizing signal terminal 20 is connected to the other input of phase comparator 18. Usually, the frequency of oscillator 10 is slightly different from the frequency of the synchronizing signal applied to terminal 20. As described in more detail below, the output of phase comparator 18 is coupled by a loop filter 19 to a signal selector 14. Phase comparator 18 controls signal selector 14 so the output signal at terminal 16 continuously advances or regresses in phase relative to oscillator 10, depending upon whether the frequency of the output signal at terminal 16 is greater than or less than the frequency of the synchronizing signal applied to terminal 20, thereby synthesizing a signal that is synchronized on average to the frequency of the signal applied to terminal 20. Specifically, if the frequency of the output signal at terminal 16 is greater than the frequency of the synchronizing signal applied to terminal 20, the phase of the output signal at terminal 16 continuously advances to bring the output signal

1 into synchronization and, if the frequency of the output signal at terminal 16 is less than the frequency of the synchronizing signal, the output signal regresses in phase to bring the output signal into synchronization. The rate at which the output signal advances or regresses depends upon the frequency difference between oscillator 10 and the synchronizing signal.

5 Phase shifter 12 can be implemented in any number of different ways. Preferably, however, oscillator 10 is a ring oscillator comprising a plurality of differential delay stages implemented in CMOS circuitry and configured so phase shifter 12 can be implemented as taps from the differential outputs of the stages. As illustrated in FIG. 2, differential delay stages 20a, 20b, 20c, ... 20h are connected end to end. The uninverted output of each stage (except the last) is connected to the uninverted input of the next stage and the inverted output of each stage (except the last) is connected to the inverted input of the next stage, e.g., the uninverted output of stage 20a is connected to the uninverted input of stage 20b and the inverted output of stage 20a is connected to the inverted input of stage 20b. The last stage is cross coupled to the first stage. Specifically, the uninverted output of the last stage is connected to the inverted input of the first stage and the inverted output of the last stage is connected to the uninverted input of the first stage, e.g., the uninverted output of stage 20h is connected to the inverted input of stage 20a and the inverted output of stage 20h is connected to the uninverted input of stage 20a. First, the uninverted outputs of stages, i.e., 20a, 20b, 20c, ... 20h, go successively high and thereafter the inverted outputs of stages, i.e., 20a, 20b, 20c, ... 20h, go successively high, as illustrated by waveforms ϕ_0 , ϕ_1 , ϕ_2 , ... ϕ_{15} in FIG. 6. This cycle repeats at the frequency of the ring oscillator. An error signal, described in more detail below, is coupled to a delay control input terminal 22 to change the delay simultaneously in each of stages 20a, 20b, 20c, ... 20h, which changes the frequency of the ring oscillator. In the embodiment of the invention described herein, $n=8$, and there are sixteen differently phase shifted signals, ϕ_0 , ϕ_2 , ϕ_3 , ... ϕ_{15} . Phase shifted signals, ϕ_0 , ϕ_1 , ... ϕ_7 are tapped off the uninverted outputs of stages 20a, 20b, 20c, ... 20h, respectively, and phase shifted signals ϕ_8 , ϕ_9 , ... ϕ_{15} are tapped off the inverted outputs of stages 20a, 20b, 20c, ... 20h, respectively, and applied to signal selector 14 (FIG. 1) as the outputs of phase shifter 12 (FIG. 1).

10 In the specific application of the invention described below, oscillator 10 is part of a phase locked loop (PLL), as illustrated in FIG. 3. A highly frequency stable crystal oscillator 24 serves as a frequency reference for the PLL. Oscillator 24 is coupled by a frequency dividing counter 26 to one input of a phase/frequency detector 28. Counter 26 divides the frequency of oscillator 24 by a factor S. The output of detector 28 is connected to a charge pump 30. Charge pump 30 is connected by an analog loop filter 32 to the frequency control input of a voltage controlled oscillator (VCO) 34. Oscillator 34 generates a plurality of differently phase shifted signals that are applied to a multiplexer (MUX) 36, which functions as signal selector 14 (FIG. 1). One of the signals generated by oscillator 34 is coupled by a frequency dividing counter 38 to the other input of detector 28. Counter 38 divides the

1 frequency of oscillator 34 by a factor M . Oscillator 34 is preferably a ring oscillator having a plurality of differential delay stages arranged as shown in FIG. 2. In such case, the phase shifted signals are generated by tapping off the differential outputs from the stages. MUX 36 selects the desired phase shifted signals one at a time to form the output signal on terminal 16.

5 An error signal in the form of phase selecting binary words from phase comparator 18 (FIG. 1) are applied to a selection decoder 39, which controls MUX 36 to select one input for transmission through a frequency dividing counter 40 to output terminal 16. Counter 40 divides the frequency at the output of MUX 36 by a factor D . The output of MUX 36 is also directly coupled to a register control output 42 described in more detail below in connection with the

10 operation of phase comparator 18. The described components of the PLL synchronize oscillator 34 to the frequency of oscillator 24 times the ratio of M/S in well known fashion.

As described in more detail in connection with FIG. 5, the PLL for synchronizing oscillator 34 to oscillator 24 is incorporated into the frequency/phase control loop described in connection with FIG. 1 in the specific application of the invention. In a number of applications

15 of the invention there are various clocks running at different frequencies. These clocks are used to control the transfer of data from place to place. The highest frequency clock represented in wave form A of FIG. 4 is designated CLK8. Another, pulse-swallowed, clock represented in wave form B of FIG. 4 is designated CLK5. CLK5 is pulse-swallowed in the sense that there are gaps from time to time in the interval between clock pulses with the result that the train of clock pulses are bunched. The specific application described in connection with FIG. 5 synchronizes the output signal at terminal 16 to the average frequency of CLK5, as illustrated in wave form C of FIG. 4. As a result, the number of clock pulses of the output signal appearing at terminal 16, although spaced differently, equals the number of clock pulses appearing at terminal 20, averaged over an interval of time. Phase comparator 18 (FIG. 1) is

20 implemented in this specific application as a phase detector/asynchronous first-in-first-out memory (FIFO) 50, a loop filter 52, and a phase accumulator 54, along with the PLL of FIG. 3, which is represented as a block 56. Bunched input data occurring at irregular intervals represented by waveform B in FIG. 4 is applied by an input terminal 58 to a data input (D_{in}) of FIFO 50. The pulses applied to terminal 20 serve as a write clock to control data transfer into terminal 58 of FIFO 50. Data read out of a data output (D_{out}) of FIFO 50 appears at an output terminal 60. The output signal appearing at terminal 16 serves as a read clock to control data transfer out of terminal 60 of FIFO 50. As described in more detail below, the status of FIFO 50, i.e., the number of empty or full locations in FIFO 50, serves as a phase detector, i.e., serves on a continuous basis to detect the phase difference between the output signal on terminal 16

30 and the pulse-swallowed clock (waveform B in FIG. 4), which serves as the synchronizing signal applied to terminal 20. FIFO 50 generates multi-bit binary words representative of this phase error. The number of bits in the word depends upon the number of storage locations in FIFO 50. In the specific application described herein, it is assumed that there are sixteen

1 memory locations in FIFO 50 and seventeen values of phase error, namely, -8, -7, -6,..., -3, -2,
-1, 0, +1, +2, +3..., +6, +7, +8. In normal operation, the phase error, which is continuously
changing, is applied to loop filter 52 to stabilize the control loop. The filtered phase errors are
applied to phase accumulator 54. In the specific application, phase accumulator 54 comprises
5 a register having a large number of bit positions, e.g. twenty-eight, and only the four most
significant bits are coupled to PLL 56 as the phase selecting word to control MUX 36.

As illustrated in FIG. 7, the multi-bit (e.g., 5) binary phase error from FIFO 50 is
processed in two signal branches in loop filter 52. In a first order, linear branch, the phase error
is coupled by a multiplier 57 to one input of a summing junction 56. In a second order,
10 integrating branch, the phase error is coupled by a multiplier 59 and an accumulator comprising
a summing junction 60 and a register 62 to another input of summing junction 56. Summing
junction 60 adds the new value of phase error to the old value of phase error previously stored
in register 62 on a continuous basis. Terminal 16 (FIG. 3) is connected to the clock input of
register 62 via a divide by eight counter (not shown) so the accumulator operates at the byte
15 clock rate. Multiplier 57 has a linear coefficient (CL) and multiplier 59 has an integrating
coefficient (CI). The second order branch provides a long term control component that
regulates convergence of the loop control system. The first order branch provides a short term
control component that helps to stabilize the control loop. Coefficients CI and CL are selected
to speed convergence and minimize jitter, i.e., to produce a stable output frequency from MUX
20 36 (FIG. 3). The output of summing junction 56 is applied to one input of a summing junction
64. A frequency offset from a CPU that controls operations is applied to the other input of
summing junction 64. The frequency offset applied to summing junction 64 is selected to
minimize the amount of synthesizing correction that has to be made by MUX 36 (FIG. 3).

Phase accumulator 54 comprises a summing junction 66 and a register 68. Terminal 42
25 is connected to the clock input of register 68 to control the transfer of incoming data. The
output of summing junction 64 is applied to one input of summing junction 66 as the filtered
phase error. The state of the phase accumulator changes at the frequency of the output signal
on terminal 16 (FIG. 3), i.e., at the synthesized frequency. Registers 62 and 68 store many more
bits, e.g. 28, than are used for control of MUX 36 (FIG. 3) in order to obtain fine resolution of
30 the phase correction.

An implementation of FIFO 50 is shown in FIGS. 8 to 10. In FIG. 8 a RAM 80 has a
plurality data word storage locations. (For the purpose of discussion, it is assumed that FIFO
50 has a sixteen data word storage capacity). Data is clocked into data input (D_{in}) of RAM 80
from terminal 58 as the synchronizing signal from terminal 20 is applied to a write clock input
35 (WCLK). A data output (D_{out}) is connected to terminal 60 and output terminal 16 is connected
to a read clock input (RCLK) of RAM 80. A FIFO status indicator 82 includes an empty flag
and full flag storing stage for each memory location in RAM 80. Indicator 82 has a number of
inputs and outputs. Terminal 20 is connected to a write clock input (WCLK). Terminal 16 is

connected to a read clock input (RCLK). The output of a NAND gate 84 is connected to a write enable input (WE) and the output of a NAND gate 86 is connected to a read enable input (RE). A full indicating output (FUL) is coupled to one input of NAND gate 84 and an external freeze command from the operations controlling CPU is coupled to another input of NAND gate 84 by serial flip-flops 85, which are clocked by the synchronizing signal from terminal 20. An empty indicating output (EMP) is coupled to one input of NAND gate 86 and the external freeze command is coupled to another input of NAND gate 86 by serial flip-flops 87, which are clocked by the synchronizing signal from terminal 16. Flip-flops 85 and 87 stabilize operation of the circuitry. As described in more detail below, at startup, NAND gates 84 and 86 disable FIFO 50 for a period of time sufficient for the control loop to converge to a steady state and after steady state has been reached whenever it is attempted to write data into a full FIFO 50 or read data out of an empty FIFO 50. A write address pointer (WA) and a read address pointer (RA) are coupled from indicator 82 to RAM 80 to write incoming data to the correct location in RAM 80 and read out data from the correct location in RAM 80. The output of FIFO indicator 82 in the form of a five bit binary word representing the FIFO states is mapped by a conversion circuit 88 so a half full FIFO state has a value of 0, an empty FIFO state has a value of -8, and a full FIFO state has a value of +8. Thus the converted five bit binary word represents, in order from empty to full, the following values: -8, -7, -6, ..., -2, -1, 0, +1, +2, ..., +6, +7, +8.

FIG. 9 illustrates the sixteen flag storing stages, i.e., stages 90a, 90b, 90c, ... 90p. Each stage has a write enable input (WE), a read enable input (RE), a write clock input (WCK), a read clock input (RCK), an empty flag indicating output (EMP), and a full flag indicating output (FUL).

To generate individual write enable signals for stages 90a to 90p and write address pointers for RAM 80 (FIG. 8), an external write enable signal (WE) from the operations controlling CPU is applied to a four-stage, 16-state binary counter 98, which is controlled by the WCLK. Thus, while WE is high, counter 98 changes state at each WCLK. The output of counter 98 (comprising a 4-bit binary word) is coupled to a decoder 100 and to RAM 80. From the 4-bit binary word, decoder 100 generates 16 successive write enable signals WE[0] to WE[15], which are coupled to stages 90a to 90p, respectively. Similarly, to generate individual read enable signals for stages 90a to 90p and read address pointers for RAM 80 (FIG. 8), an external read enable signal (RE) from the operations controlling CPU is applied to a four-stage, 16-state binary counter 104, which is controlled by the RCLK. Thus, while RE is high, counter 104 changes state at each RCLK. The output of counter 104 (comprising a 4-bit binary word) is coupled to a decoder 106 and to RAM 80. From the 4-bit binary word, decoder 106 generates 16 read enable signals RE[0] to RE[15], which are also coupled to stages 90a to 90p, respectively. When a freeze command is applied to NAND gate 84 (FIG. 8), generation of the write enable signal (WE) is inhibited and when a freeze command is applied to NAND gate 86

1 generation of the read enable signal (RE) is inhibited. Similarly, when a FUL indication is generated in FIFO indicator 82, NAND gate 84 inhibits generation of the write enable signal (WE) and when an EMP indication is generated in FIFO indicator 82, NAND gate 86 inhibits generation of the read enable signal (RE).

5 FIG. 10 illustrates the full and empty flag storing circuitry in one of stages 90a to 90p. The output of a flip-flop 120 is connected to one input of an exclusive OR gate 122. The output of flip-flop 120 is also directly connected to one input of a MUX 123 and through an inverter 124 to the other input of MUX 123. The output of MUX 123 is connected to the input of flip-flop 120 to toggle flip-flop 120 each time that a write enable signal WE[n] from decoder 100
10 (FIG. 9) is applied to the control input of MUX 123. The output of exclusive OR gate 122 is coupled by serial flip-flops 132 to the FUL [n] output of the stage to improve the stability of the control loop. Flip-flops 132 are clocked by the synchronizing signal WCLK from terminal 20. When a location in RAM 80 is full, the corresponding full flag as represented by the state of flip-flop 132 of the stage is a binary "1".

15 The output of a flip-flop 126 is connected to one input of an exclusive NOR gate 128. The output of flip-flop 126 is also directly connected to one input of a MUX 129 and through an inverter 130 to the other input of MUX 129. The output of MUX 129 is connected to the input of flip-flop 126 to toggle flip-flop 126 each time that a read enable signal RE[n] from decoder 106 (FIG. 9) is applied to the control input of MUX 129. The output of exclusive NOR
20 gate 128 is coupled by serial flip-flops 134 to the EMP [n] output of the stage to improve the stability of the control loop. Flip-flops 134 are clocked by the synchronizing signal RCLK from terminal 16. When a location in RAM 80 is empty, the corresponding empty flag as represented by the state of flip-flop 134 of the stage is a binary "1".

25 The outputs of flip-flops 120 and 126 are cross-coupled to another input of exclusive NOR gate 128 and exclusive OR gate, respectively, so that the states of the full and empty outputs of the flag storing circuitry, i.e., the outputs of flip-flops 120 and 126 always complement each other, even though the states of the outputs of flip-flops 120 and 126 are the same. Thus, if the storage location is full, the full flag at the FUL output of the corresponding stage is "1" and the empty flag at the EMP output is "0". Similarly, if the storage location is
30 empty, the full flag at the FUL output of the corresponding stage is "0" and the empty flag at the EMP output is "1".

35 The state of FIFO 50 is defined either by adding the number of empty flags or the number of full flags. Preferably, however, the number of empty flags is selected because the empty flags are generated using the RCLK, which has the smooth, i.e., non-jittering waveform. Thus, the EMP output of each of stages 90a to 90p is applied to the input of an adder 112. The output of adder 112 is the five bit FIFO indication coupled to loop filter 52 (FIG. 5).

To sense when FIFO 50 is empty, the EMP output of each of stages 90a to 90p is also applied to the input of a MUX 108 (FIG. 9). MUX 108 is controlled by the read address pointer

1 (RA) so the EMP output of the stage corresponding to the location in RAM 80 to be read out
is transmitted to the output of MUX 108. The output of MUX 108 is coupled by an inverter 109
as the EMP output of FIFO indicator 82 (FIG. 8) to one input of NAND gate 86. As a result,
when the empty flag of a memory location from which data is to be read out is "1", the RE input
5 of FIFO indicator 82 is disabled and an alarm is sent to the CPU that controls the operations.

To sense when FIFO 50 is full, the FUL output of each of stages 90a to 90p is also
applied to the input of a MUX 110. MUX 110 is controlled by the write address pointer (WA)
so the FUL output of the stage corresponding to the location in RAM 80 to be written into is
transmitted to the output of MUX 110. The output of MUX 110 is coupled by an inverter 111
10 as the FUL output of FIFO indicator 82 (FIG. 8) to one input of NAND gate 84. As a result,
when the full flag of a memory location into which data is to be written is "1", the WE input of
FIFO indicator 82 is disabled and an alarm is sent to the CPU that controls the operations.

The operation of FIFO 50 will now be described with reference to FIG. 11, which
represents sixteen storage locations 0 to 15 in FIFO 50. The storage locations are marked "F"
15 for full and "E" for empty. When a storage location is marked "F", the output of flip-flop 120
is "1" and the output of flip-flop 126 is "0". When a storage location is marked "E", the output
of flip-flop 126 is "1" and the output of flip-flop 120 is "0". At reset, FIFO 50 is half full, i.e.,
the full flags of locations 0 to 7 are on, i.e., "1", and the empty flags of locations 8 to 15 are on,
i.e., "1". The read address pointer (RA) points to location 0 and the write address pointer (WA)
20 points to location 8, as illustrated in FIG. 11.

When the first data word is written into FIFO 50 responsive to a write enable signal
WE[n], it is stored in location 8 because that is where it is directed by the write address pointer
(WA). The write enable signal WE[n] toggles the input of flip-flop 120 of the corresponding
location, thereby causing the empty flag to turn off and the full flag to turn on. Responsive to
25 write enable signal WE[n], the write address pointer (WA) also moves to the next location in
order, i.e., location 9. Each time that a data word is written into FIFO 50, the described
operation is repeated with respect to the corresponding location selected by the write address
pointer (WA). The write address pointer repeatedly recycles as write enable signals are
generated.

30 When the first data word is read out of FIFO 50 responsive to a read enable signal
RE[n], it is read out of location 0 because that is where it is directed by the read address pointer
(RA). The read enable signal RE[n] toggles the input of flip-flop 126 of the corresponding
location, thereby causing the full flag to turn off and the empty flag to turn on. Responsive to
read enable signal RE[n], read address pointer (RA) also moves to the next location in order,
35 i.e., location 1. Each time that a data word is read out of FIFO 50, the described operation is
repeated with respect to the corresponding location selected by the read address pointer (RA).
The read address pointer repeatedly recycles as read enable signals are generated.

1 In summary, the synchronizing apparatus operates as follows: Counters 26, 38, and 40
are programmed by the operations controlling CPU to establish a coarse frequency setting for
oscillator 34 (FIG. 3). Fine adjustment of the frequency is carried out by selecting the phase
5 shifted signals applied to MUX 36 so the phase advances or regresses, depending on whether
a frequency increase or decrease is required for synchronization. With reference to FIG. 6 if
a frequency decrease is desired, MUX 36 shifts for example from $\phi 1$ to $\phi 2$ while both signals
are in the same state, e.g., high. As shown by the waveform in FIG. 6 labeled "TERMINAL 42",
the output signal from MUX 36 is high for a longer time, which represents a frequency decrease.
10 For a frequency increase, MUX 36 shifts for example from $\phi 1$ to $\phi 15$ while both signals are in
the same state, e.g., high, and the output signal from MUX 36 is high for a shorter time. The
maximum frequency change that can be made by MUX 36 is 1/16th of the frequency of
oscillator 34, i.e., one phase shift per cycle of oscillator 34. Since phase accumulator 54 is
clocked by the signal at output terminal 42, the phase shifts carried out by MUX 36 occur while
15 both the old phase shifted signal and the new phase shifted signal are in the same state. To
insure this result in the case of a phase advance, the delay from the phase out of phase
accumulator 54 (FIG. 5) to output terminal 42 is designed to be greater than 1/16th of the period
of oscillator 34 (FIG. 3).

It is desirable to clock phase accumulator 54 with the highest available synchronized
frequency, i.e., the signal at output terminal 42, to maximize the fine frequency adjustment that
20 can be made by the digital control loop. The phase accumulator can be clocked by a lower
frequency if maximizing the fine frequency adjustment is not a concern.

It should be noted that the frequency of the clock signal appearing at output terminal 16
can be programmed from the CPU by changing the dividing factors S, M, and D of counters
26, 38, and 40, respectively, so pulse-swallowed or rapidly jittering clock signals over a broad
25 frequency range can be locked to a single, highly stable, fixed frequency reference. The analog
control loop including loop filter 32 (FIG. 3) has a very wide bandwidth and the digital control
loop including loop filter 52 (FIG. 5) has a very narrow bandwidth. Thus, the invention can be
effectively implemented using CMOS technology because oscillator 34 does not need to have
low self-noise to track the synchronizing pulses.

30 The described embodiment of the invention is only considered to be preferred and
illustrative of the inventive concept; the scope of the invention is not to be restricted to such
embodiment. Various and numerous other arrangements may be devised by one skilled in the
art without departing from the spirit and scope of this invention.

1 WHAT IS CLAIMED IS:

1. A method for synchronizing a regularly occurring pulse train in frequency to the average of a bunched pulse train, the method comprising the steps of:

5 generating from an oscillator a plurality of differently phase shifted signals at a given frequency that is different from the average frequency;

selecting one of the phase shifted signals as a output signal;

comparing the output signal with the bunched pulse train; and

10 changing the selected phase shifted signal responsive to the comparing step so the output signal occurs at the average frequency of the bunched pulse train.

2. The method of claim 1, in which the generating step comprises coupling a plurality of differential amplifier stages having controllable delays together to form a ring oscillator, the phase shifted signals being generated by the respective stages such that each stage produces two
15 signals shifted in phase 180° from each other.

3. The method of claim 2, in which the differential amplifier stages have equal controllable delays.

20 4. The method of claim 3, in which the coupling step couples eight differential amplifier stages together such that sixteen differently phase shifted signals are generated.

5. The method of claim 1, in which the comparing step comprises filling a FIFO with the bunched pulse train and emptying the FIFO at the frequency of the output signal and
25 generating an error signal that represents the state of the FIFO.

6. The method of claim 5, in which the selecting step comprises applying the phase shifted signals to a multiplexer such that the output is generated by the multiplexer and the changing step comprises filtering the error signal, accumulating the filtered error signal to
30 produce a phase selection signal, and the multiplexer selects one of the applied phase shifted signals responsive to the phase selection signal.

7. The method of claim 6, in which the accumulating step accumulates the filtered error signal at the frequency of the output signal.

8. The method of claim 1, in which the phase shifted signals have two binary values and the changing step changes from phase shifted signal to another phase shifted signal when both phase shifted signals have the same binary value.

1 9. The method of claim 1, in which the generating step
comprises synchronizing the oscillator to a stable frequency reference.

5 10. The method of claim 9, in which the synchronizing step comprises forming a phase
locked loop that has a number of counters with programable dividing factors that determine the
frequency of the phase shifted signals and programming the counters to establish the desired
frequency.

10 11. The method of claim 10, in which the phase locked loop has a broad bandwidth.

 12. The method of claim 11, in which the changing step is carried out by a control
loop that has a narrow bandwidth.

15 13. The method of claim 6, in which the changing step additionally comprises adding
a frequency offset to the filtered error signal before the accumulating step.

 14. A method of synchronizing a regularly occurring clock pulse train in frequency
to the average of a bunched clock pulse train corresponding to data, the method comprising the
steps of:

20 generating from an oscillator as an output a regularly occurring clock pulse train;
 filling a FIFO with the data responsive to the bunched clock pulse train and
emptying the FIFO responsive to the output signal;
 producing an error signal that represents the state of the FIFO; and
 applying the error signal to the oscillator to change the frequency of the output
25 signal so the state of the FIFO remains approximately constant.

30 15. The method of claim 14, in which the applying step comprises filtering the error
signal, accumulating the filtered error signal to produce a frequency correction signal, and
changing the frequency of the output signal responsive to the frequency correction signal.

35 16. The method of claim 14, in which the FIFO has a given number of storage
locations, an empty flag storage cell for each location, and a full flag storage cell for each
location, and the error signal producing step comprises setting an empty flag in a empty flag
storage cell when data is read out of the corresponding storage location and resetting a full flag
in the full flag storage cell of the corresponding storage location and setting an full flag in a full
flag storage cell when data is written into the corresponding storage location and resetting an
empty flag in the empty flag storage cell of the corresponding storage location, and summing
either the empty flags or the full flags to determine the status of the FIFO.

1 17. Apparatus for synchronizing a source of clock signals to an incoming pulse train comprising:

 an oscillator having a plurality of amplifier stages coupled together in a ring to generate phase shifted signals at the respective amplifier stages;

5 a multiplexer for selecting as the source of clock signals one output from a plurality of inputs;

 means for coupling the respective amplifier stages of the oscillator to the inputs of the multiplexer so the selected output is one of the phase shifted signals;

 means for comparing the selected output with the incoming pulse train; and

10 means responsive to the comparing means for controlling the multiplexer to select another phase shifted signal that reduces the average frequency difference between the selected output and the incoming pulse train.

15 18. The apparatus of claim 17, in which the incoming pulse train is bunched and the controlling means controls the multiplexer to repeatedly select another phase shifted signal until the frequency of the clock signals is the average frequency of the incoming pulse train.

FIG. 1

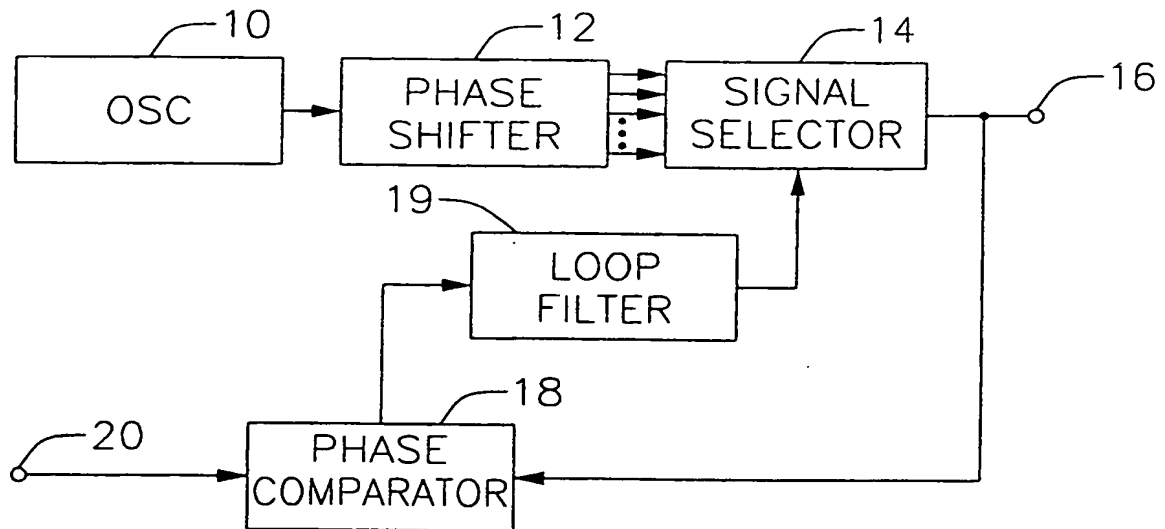


FIG. 2

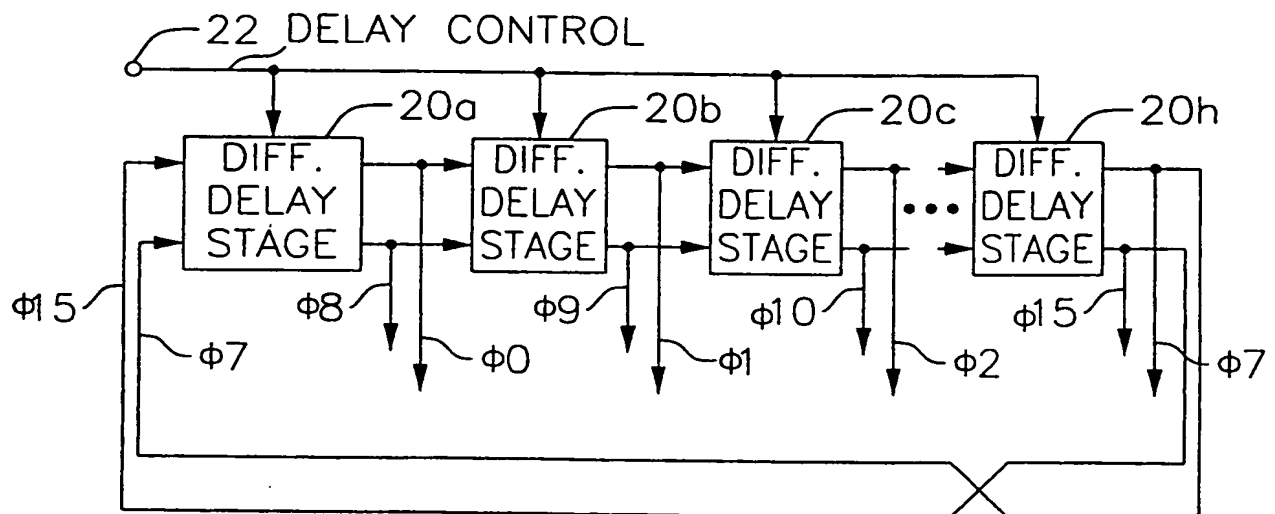


FIG. 3

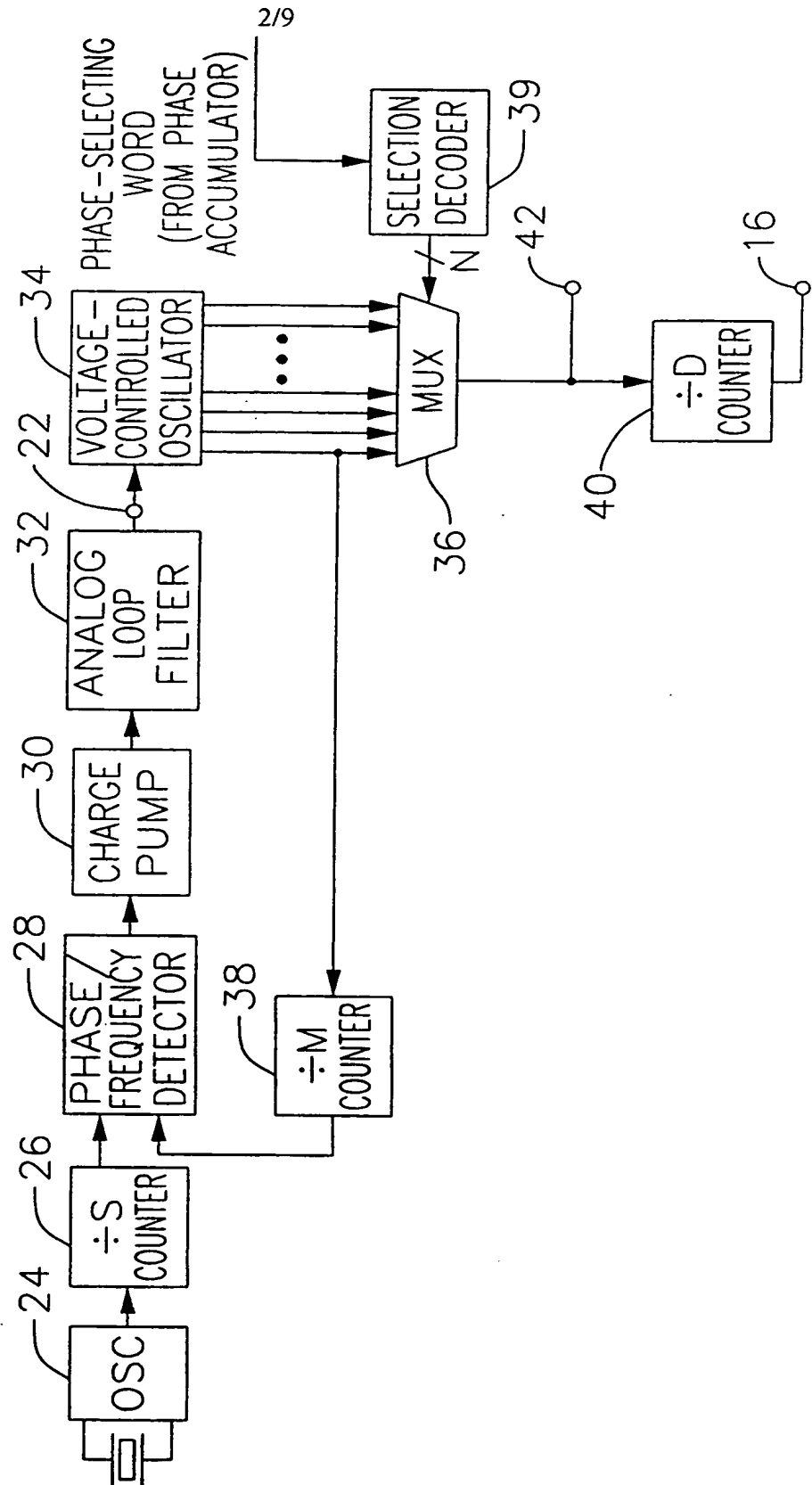


FIG. 4

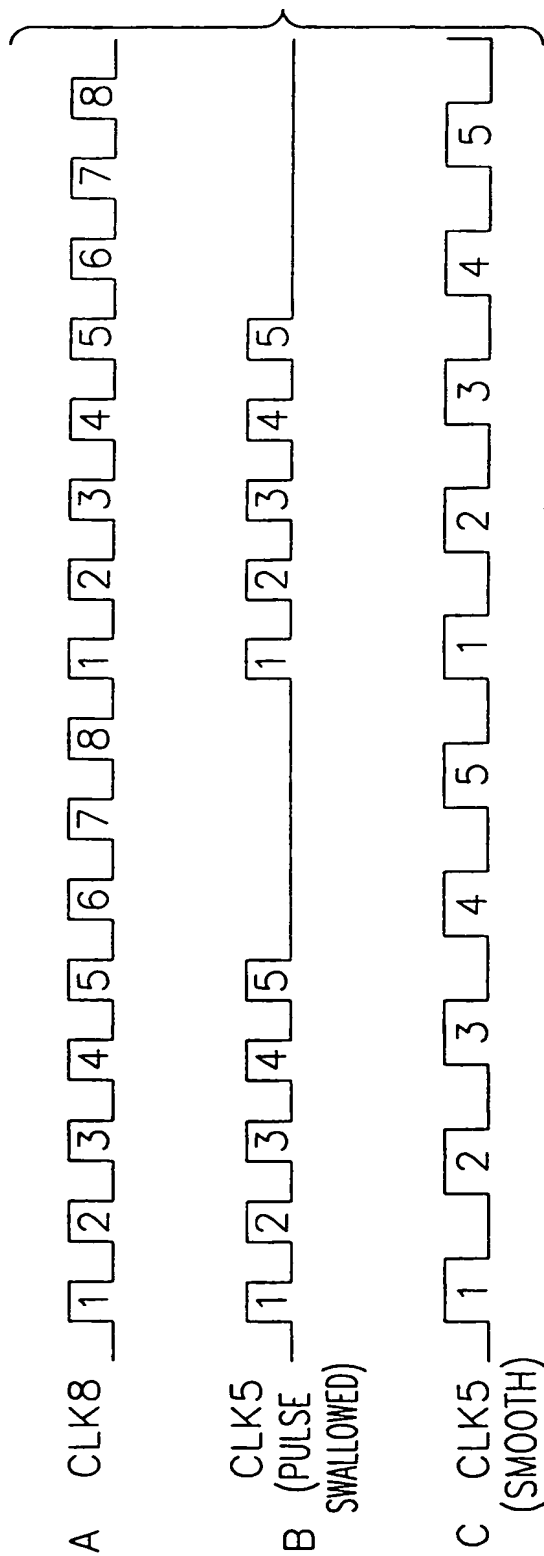


FIG. 5

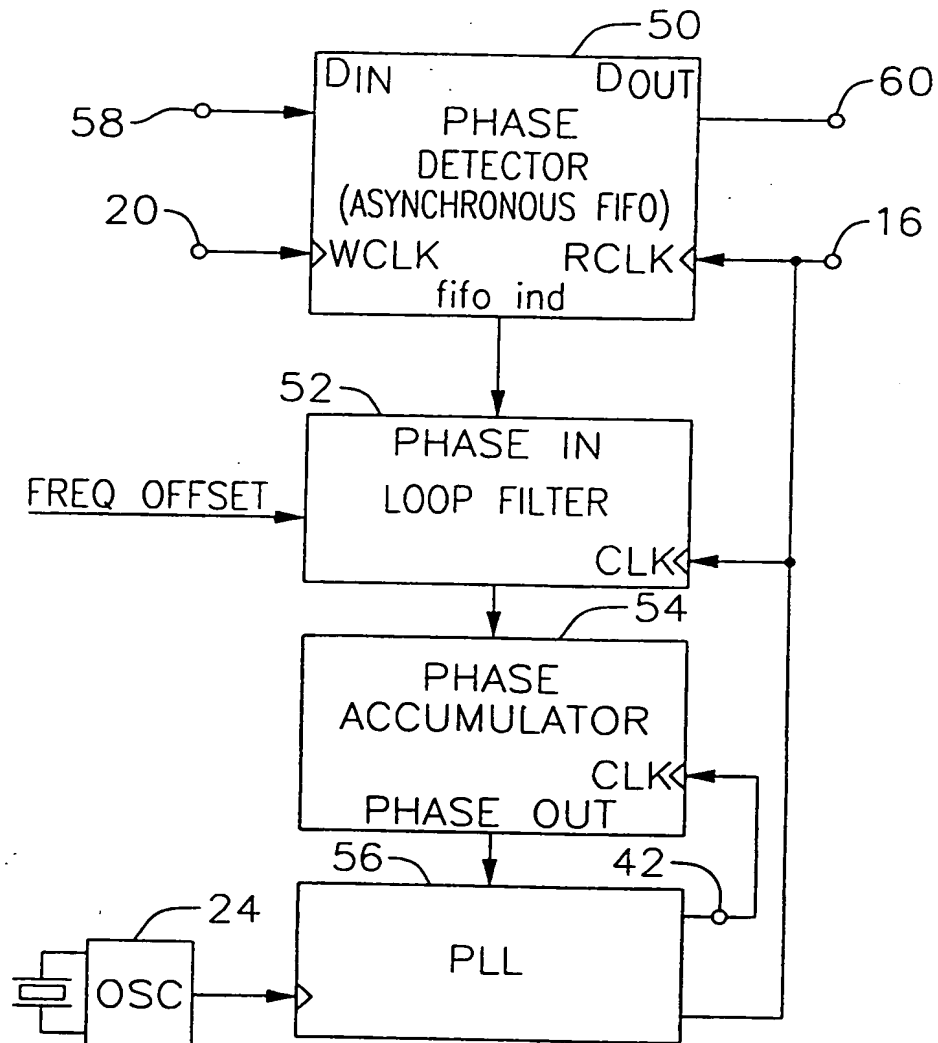


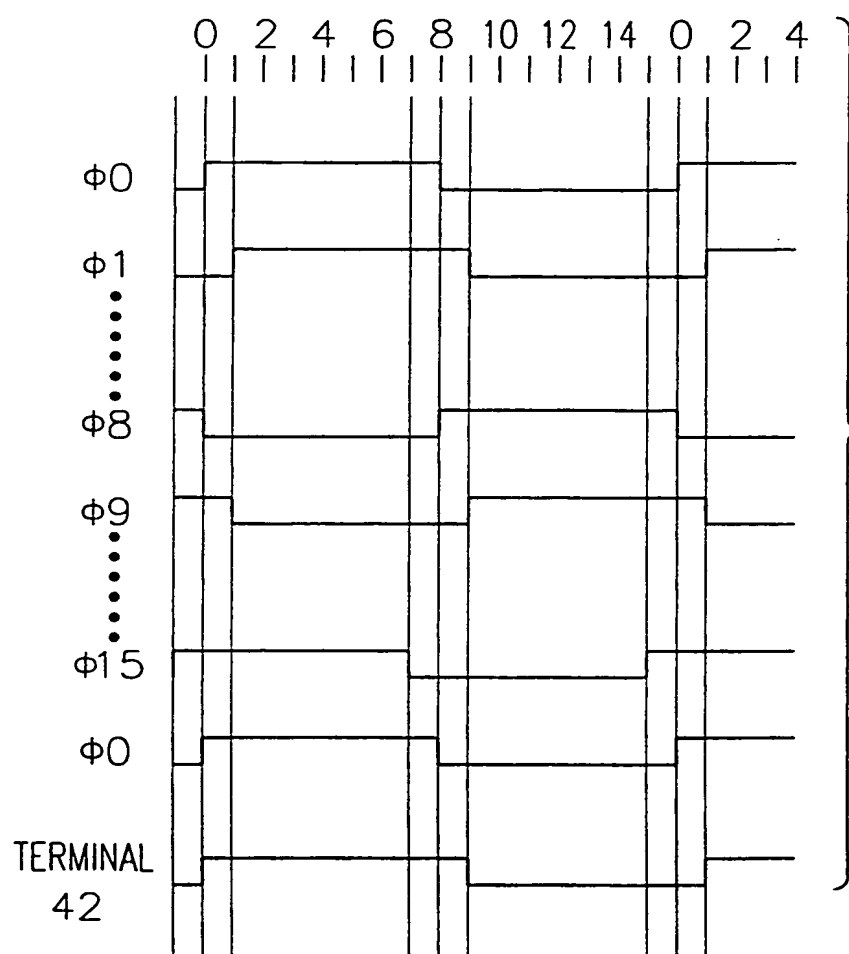
FIG. 6

FIG. 7

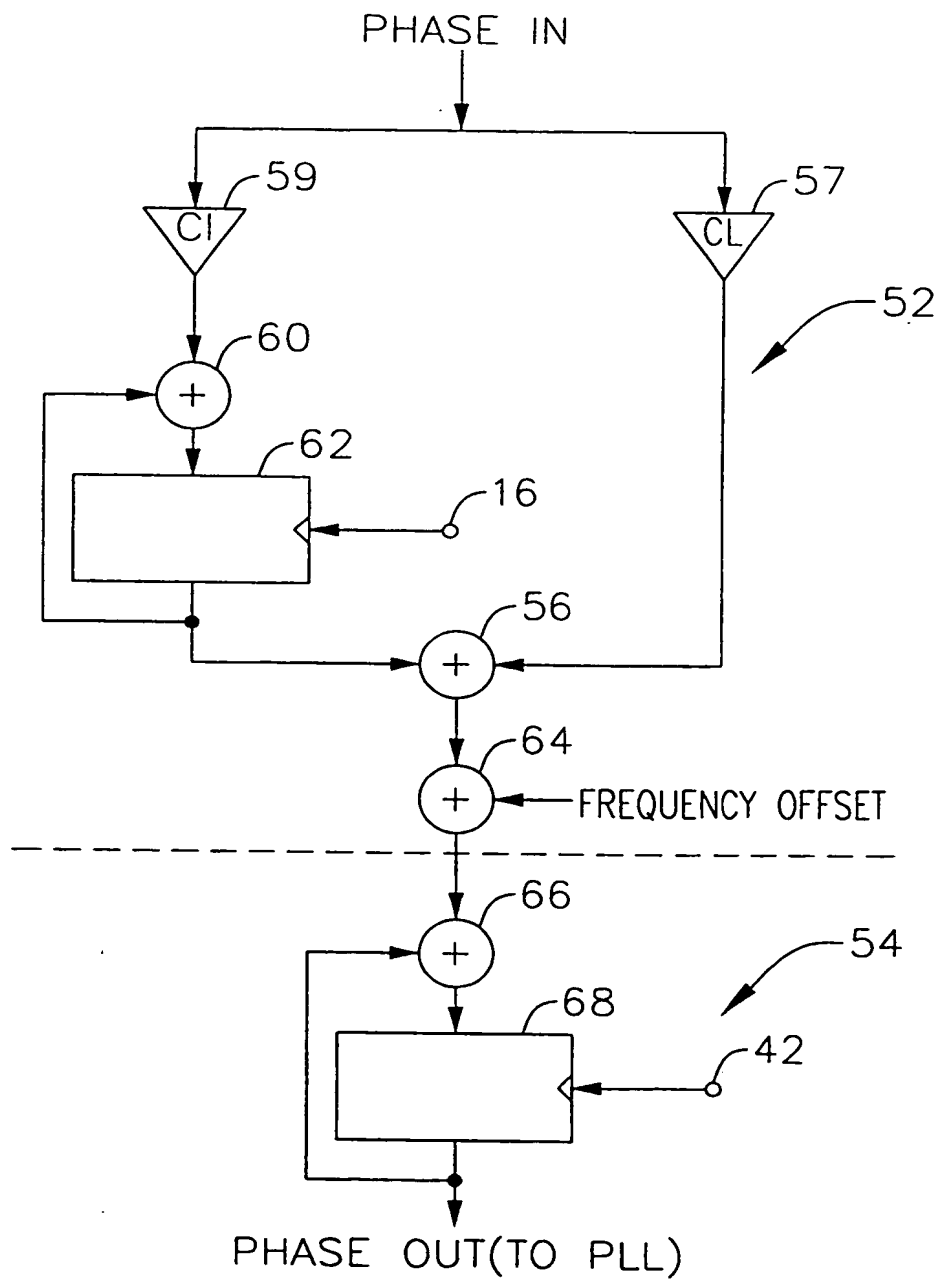


FIG. 8

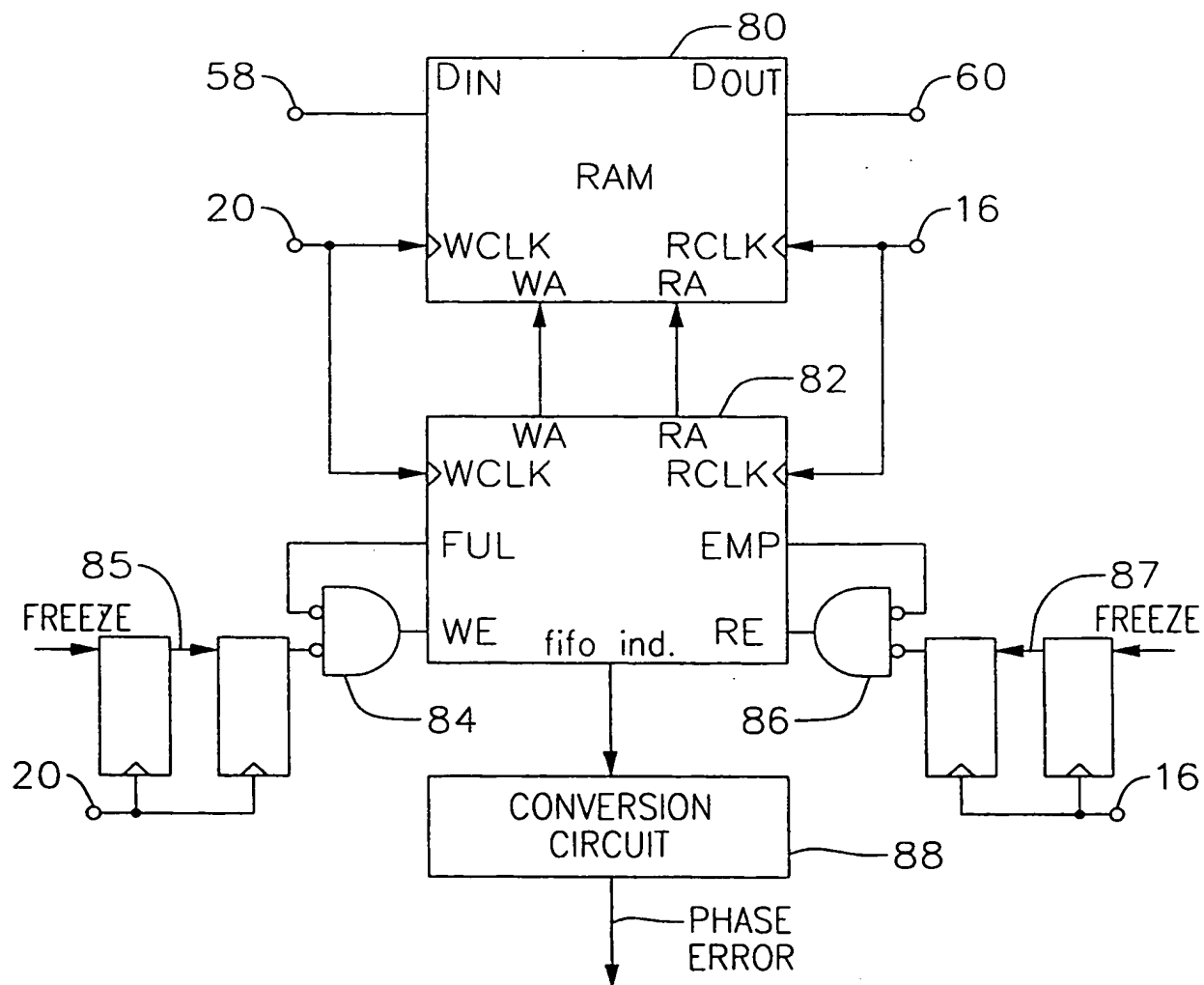


FIG. 9

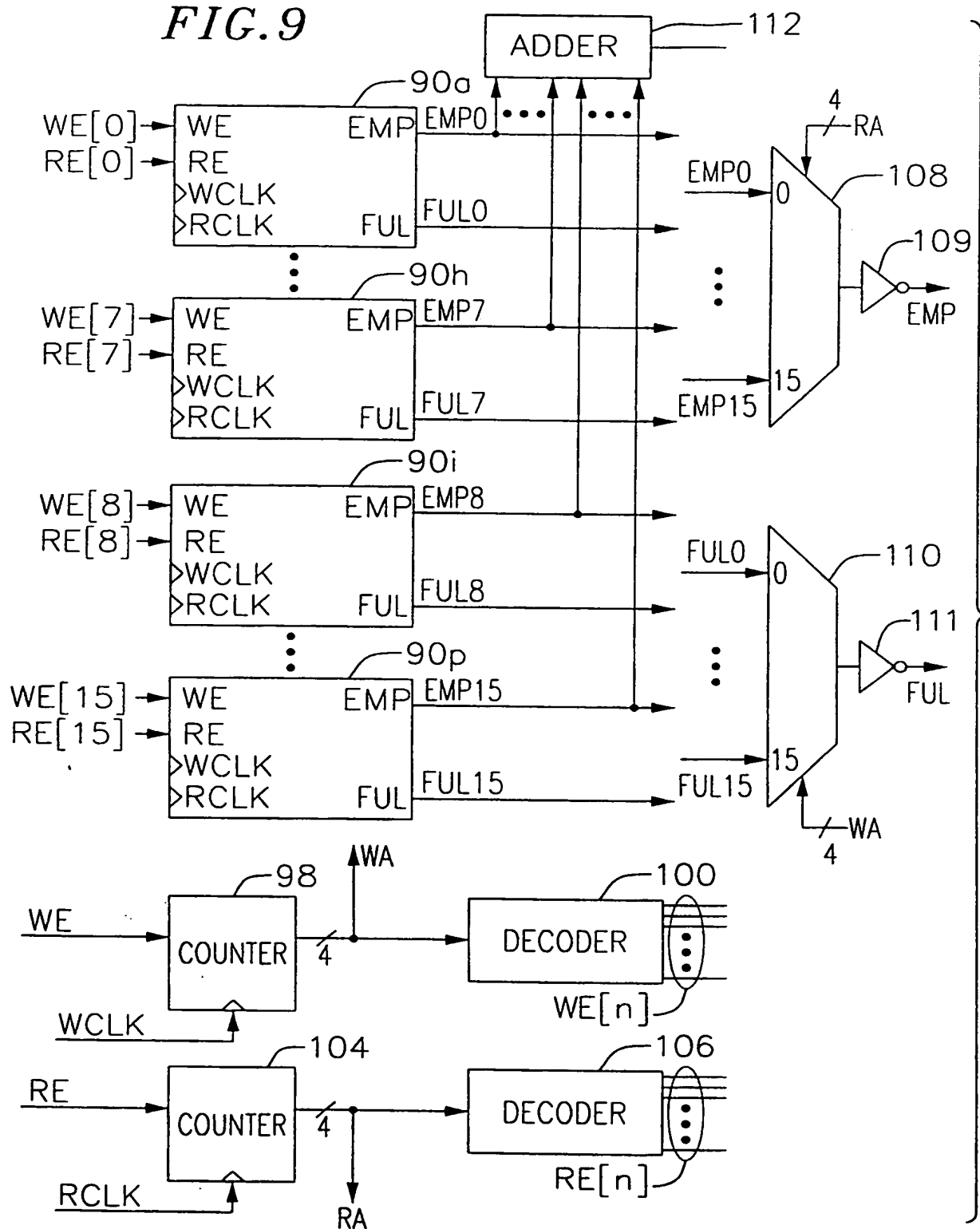
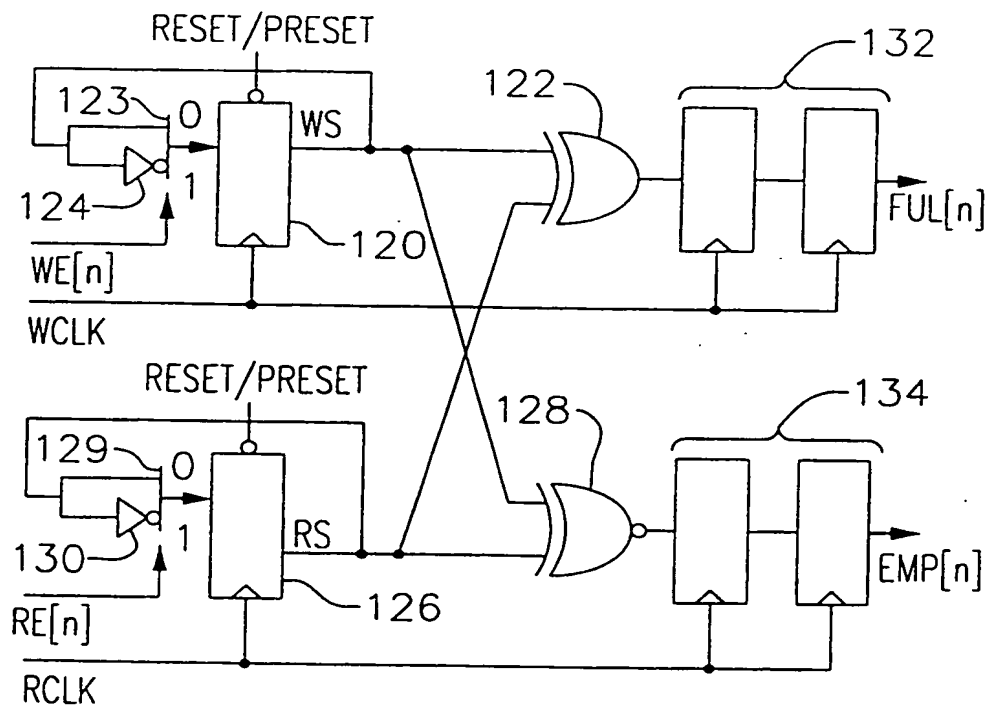
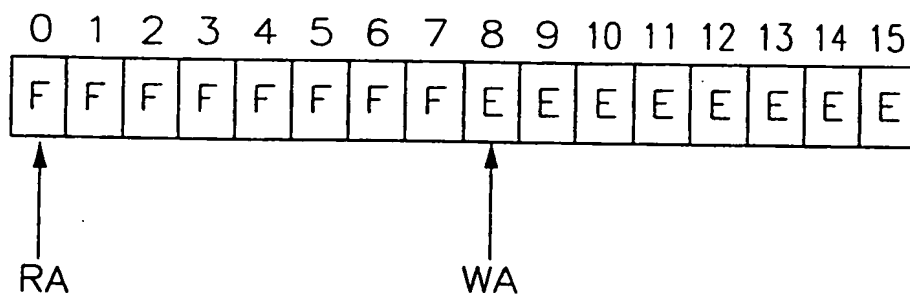


FIG. 10*FIG. 11*

INTERNATIONAL SEARCH REPORT

Inte Jonal Application No

PCT/US 00/02849

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 H04L7/033 H03L7/081

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H03L H04J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 602 882 A (CO RAMON S ET AL) 11 February 1997 (1997-02-11) column 1, line 53 -column 2, line 18; figure 1 column 4, line 20 -column 7, line 61; figures 3-6 column 8, line 48 -column 9, line 44 ---	1-5, 9-12, 14, 17, 18
X	US 5 493 243 A (GHOSHAL SAJOL C) 20 February 1996 (1996-02-20) column 2, line 58 -column 8, line 12; figures --- -/--	1-4, 9-12, 17, 18

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

6 June 2000

Date of mailing of the international search report

20/06/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Balbinot, H

INTERNATIONAL SEARCH REPORT

Int. Application No
PCT/US 00/02849

(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category * Citation of document, with indication, where appropriate, of the relevant passages

Relevant to claim No.

US 5 844 436 A (ALTMANN MICHAEL)
1 December 1998 (1998-12-01)

column 2, line 11 -column 6, line 7;
figures

1-4,
9-12, 17,
18

CHEN D -L: "A POWER AND AREA EFFICIENT
CMOS CLOCK/DATA RECOVERY CIRCUIT FOR
HIGH-SPEED SERIAL INTERFACES"
IEEE JOURNAL OF SOLID-STATE
CIRCUITS, US, IEEE INC. NEW YORK,
vol. 31, no. 8,
1 August 1996 (1996-08-01), pages
1170-1176, XP000623567
ISSN: 0018-9200
page 1170, column 2, line 23 -page 1173,
column 2, line 39; figures 1-3, 6

1-4, 9,
17, 18

DAO-LONG CHEN ET AL.: "A Single-Chip
266Mb/s CMOS Transmitter/Receiver for
Serial Data Communications"
1993 IEEE INTERNATIONAL SOLID-STATE
CIRCUITS CONFERENCE; DIGEST OF TECHNICAL
PAPERS; 40TH ISSCC; IEEE INTERNATIONAL,
'Online! - 1993 page 100, 101, 269
XP002139565 ISBN: 0-7803-0987-1
Retrieved from the Internet:
<URL:http://iel.ihs.com:80/cgi-bin/iel.cgi
?sess=361744564&prod=IEL&page=%2f12%2f10
57%2f6932%2f00280067%2epdf>
'retrieved on 2000-06-05!
reference cited in document XP000623567
page 100, column 1, paragraph 3; figure 2

1, 9, 17,
18

"HYBRID ANALOG/DIGITAL CLOCK RECOVERY FOR
BURST-MODE DATA"
IBM TECHNICAL DISCLOSURE BULLETIN, US, IBM
CORP. NEW YORK,
vol. 37, no. 4B,
1 April 1994 (1994-04-01), pages 407-408,
XP000451295
ISSN: 0018-8689
the whole document

14, 15

US 5 793 824 A (BURCH RICHARD A ET AL)
11 August 1998 (1998-08-11)
column 1, line 28 -column 3, line 30;
figure 1

INTERNATIONAL SEARCH REPORT

information on patent family members

Inte. onal Application No

PCT/US 00/02849

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5602882 A	11-02-1997	US 5502750 A	26-03-1996
US 5493243 A	20-02-1996	CA 2180466 A	13-07-1995
		DE 69405016 D	18-09-1997
		DE 69405016 T	15-01-1998
		EP 0738437 A	23-10-1996
		IL 112252 A	06-12-1998
		WO 9519067 A	13-07-1995
US 5844436 A	01-12-1998	NONE	
US 5793824 A	11-08-1998	NONE	

Form PCT/ISA/210 (patent family annex) (July 1992)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.